

# Exam “Discrete Optimization”

Monday, January 12, 2015, 13:30 – 16:30

- Use of calculators, mobile phones, and other electronic devices is not allowed!
- The exam consists of six problems. Please start a new page for every problem.
- The total number of points of the regular assignments is 45. You get 5 bonus points, and you can get 5 bonus points from Exercise 1b.

## 1. Independent Set

We consider the problem **IndependentSet**:

*Instance:* undirected graph  $G = (V, E)$ ;

*Solution:* subset  $U \subseteq V$  such that, for all  $u, v \in U$ , we have  $\{u, v\} \notin E$  (the set  $U$  is called an *independent set*);

*Goal:* maximize  $|U|$ .

Let  $d_v = |\{u \in V \mid \{u, v\} \in E\}|$  be the degree of a vertex  $v \in V$  in  $G$ , and let  $\Delta = \max\{d_v \mid v \in V\}$  be the maximum degree of  $G$ .

In order to approximate the problem, we consider the greedy method: we start with  $U = \emptyset$ . Then we consider the nodes of  $V$  one by one. If we consider  $v \in V$  and  $\{v\} \cup U$  is an independent set, then we add it to  $U$ . Otherwise, we skip  $v$ .

- (a) (*6 points*) Prove that the greedy method achieves an approximation ratio of  $\Delta + 1$  for **IndependentSet**. This means that greedy outputs an independent set  $U$  such that  $|U| \geq \frac{1}{\Delta+1} \cdot |U^*|$ , where  $U^*$  denotes an independent set of maximum cardinality.
- (b) (*5 bonus points*) Prove that greedy achieves an approximation ratio of  $\Delta$  for **IndependentSet**.

If you solve this part correctly, you also get the points of Part (a).

## 2. Shortest Paths

(6 points) Devise an algorithm with a running-time of  $O(n + m)$  for the following optimization problem:

*Instance:* directed graph  $G = (V, E)$  with  $V = \{v_1, \dots, v_n\}$  and  $|E| = m$  and edge costs  $c : E \rightarrow \mathbb{R}$  (negative edge costs are allowed). The graph satisfies the following property: if  $(v_i, v_j) \in E$ , then  $i < j$ .

*Goal:* Compute shortest path distances from  $v_1$  to all other nodes in  $G$ .

A proof of correctness and of the running-time is not required.

## 3. Spanning Trees

We consider the problem **SecondMST** of computing the second-lightest spanning tree in a graph:

*Instance:* undirected graph  $G = (V, E)$ , edge weights  $w : E \rightarrow \mathbb{N}$ ;

*Solution:* a spanning tree  $S$  (called the second-lightest tree) of  $G$  with the following properties:

- There exists a tree  $T^* \neq S$  with  $w(T^*) \leq w(S)$ .
- We have  $w(T) \geq w(S)$  for all spanning trees  $T \neq T^*$  of  $G$ .

*Remark:* In the following, the observation that  $|T \Delta T'|$  is even for all spanning trees  $T$  and  $T'$  is useful. The observation holds since  $|T| = |T'| = n - 1$ .

(a) (5 points) Let  $T^*$  be a minimum-weight spanning tree, and let  $Y$  be an arbitrary spanning tree with  $|Y \Delta T^*| \geq 4$ . Then there exists a spanning tree  $Z$  with the following two properties:

- (i)  $|Z \Delta T^*| = |Y \Delta T^*| - 2$ .
- (ii)  $w(T^*) \leq w(Z) \leq w(Y)$ .

(b) (3 points) Let  $T^*$  be a minimum-weight spanning tree. Prove the following: There exists a second-lightest tree  $S$  with  $|S \Delta T^*| = 2$ .

*Remark:* You can of course use Part (a) even if you did not prove it.

(c) (4 points) Devise a polynomial-time algorithm for **SecondMST**. Justify the correctness of your algorithm and estimate its running-time.

*Remark:* You can of course use Part (b) even if you did not prove it.

## 4. NP-Completeness

The **bounded-degree spanning tree problem**, denoted by **BoundedMST**, is the following decision problem:

*Instance:* undirected graph  $G = (V, E)$ ;

*Question:* is there a spanning tree  $T$  of  $G$  such that every node  $u \in V$  is incident to at most three edges in  $T$ ?

(7 points) Prove that **BoundedMST** is NP-complete.

*Hint:* You can reduce from **HamiltonPath**, which is the following problem and known to be NP-complete:

*Instance:* undirected graph  $G = (V, E)$ ;

*Question:* is there a simple path  $P$  in  $G$  that visits all vertices of  $G$  (called a Hamilton path)?

Note that a Hamilton path is a spanning tree of maximum degree two.

## 5. Minimum Cost Flows

(6 points) Let  $G = (V, E)$  be a directed graph with edge capacities  $w : E \rightarrow \mathbb{N}$  and edge costs  $c : E \rightarrow \mathbb{N}$  and balances  $b : V \rightarrow \mathbb{Z}$ .

Prove that the following statements are equivalent for all feasible flows  $f$ :

- (I) The flow  $f$  is the unique minimum cost flow.
- (II) For every directed cycle  $C$  in  $G_f$ , we have  $c(C) > 0$ .

## 6. Questions

Are the following statements true or false? Give a short justification of your answer.

- (a) (2 points) Consider the minimum cost flow problem. If all balances, capacities, and costs are integral, then all optimal flows have integral costs.
- (b) (2 points) Since **Knapsack** can be solved in pseudo-polynomial time, there is no polynomial-time many-one reduction from **3SAT** to **Knapsack**.
- (c) (2 points)  $\text{PerfectMatch} = \{G \mid G \text{ contains a perfect matching}\} \in \text{NP}$ .
- (d) (2 points) Let  $G = (V, E)$  be a directed graph and let  $s \in V$  be arbitrary. Let  $c : E \rightarrow \mathbb{R}$  be edge costs such that  $G$  does not contain any negative cycle. Then there exists a node  $v \in V$  such that the shortest path from  $s$  to  $v$  consists of a single edge.